

**COPY FOR CONTINUING  
APPLICATION**

Attorney Docket No. 18547-023400

**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **REAGENTS AND METHODS FOR SOLID PHASE SYNTHESIS AND DISPLAY** the specification of which \_\_\_ is attached hereto or X was filed on June 22, 1998 as Application No. 09/102,986 and was amended on \_\_\_ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

| Country | Application No. | Date of Filing | Priority Claimed<br>Under 35 USC 119 |
|---------|-----------------|----------------|--------------------------------------|
|         |                 |                | Yes _ No _                           |
|         |                 |                | Yes _ No _                           |

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

| Application No. | Filing Date |
|-----------------|-------------|
|                 |             |
|                 |             |

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application No. | Date of Filing | Status                           |
|-----------------|----------------|----------------------------------|
|                 |                | _ Patented _ Pending _ Abandoned |
|                 |                | _ Patented _ Pending _ Abandoned |

|                         |  |                                      |  |                   |
|-------------------------|--|--------------------------------------|--|-------------------|
| Full Name of Inventor 1 | Last Name<br>McGall  | First Name<br>Glenn                  | Middle Name or Initial<br>H.           |                   |
| Residence & Citizenship | City<br>Mountain View  | State/Foreign Country<br>California  | Country of Citizenship<br>Canada       |                   |
| Post Office Address     | Post Office Address<br><del>750 N. Shoreline Boulevard</del><br>1121 Sladky Ave. <i>CM</i> | City<br>Mountain View                | State/Country<br>California,<br>U.S.A. | Zip Code<br>94041 |
| Full Name of Inventor 2 | Last Name<br>Barone  | First Name<br>Anthony                | Middle Name or Initial<br>D.           |                   |
| Residence & Citizenship | City<br>San Jose   | State/Foreign Country<br>California  | Country of Citizenship<br>U.S.A.       |                   |
| Post Office Address     | Post Office Address<br>2118 Ellen Avenue   | City<br>San Jose                     | State/Country<br>California,<br>U.S.A. | Zip Code<br>95125 |
| Full Name of Inventor 3 | Last Name<br>Diggelmann  | First Name<br>Martin                 | Middle Name or Initial                 |                   |
| Residence & Citizenship | City<br>Arlesheim  | State/Foreign Country<br>Switzerland | Country of Citizenship<br>Switzerland  |                   |
| Post Office Address     | Post Office Address<br>Im Lee 34   | City<br>4144 Arlesheim               | State/Country<br>Switzerland           | Zip Code          |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

|  |  |  |
|--|--|--|
| Signature of Inventor 1<br><i>Glenn H. McGall</i><br>Glenn H. McGall | Signature of Inventor 2<br><i>Anthony D. Barone</i><br>Anthony D. Barone | Signature of Inventor 3<br><i>M. Diggelmann</i><br>Martin Diggelmann |
| Date <i>08/04/98</i>   | Date <i>09/05/98</i>   | Date <i>08/17/98</i>   |

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LMT/TAD/sd  
09/14/01

PATENT APPLICATION  
DOCKET NO.: 2719.2012-000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Glenn H. McGall, Anthony D. Barone, and Martin Diggelmann

Serial No.: 09/102,986

Group Art Unit: 1627

Filed: June 22, 1998

Examiner: M. Garcia

Title: REAGENTS AND METHODS FOR SOLID PHASE SYNTHESIS  
AND DISPLAY

COPY FOR CONTINUING  
APPLICATION

|   |                   |
|---|-------------------|
| CERTIFICATE OF MAILING  |                   |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 |                   |
| on 10/26/01   | Jessica Lanciault |
| Date  | Signature         |
| Type or printed name of person signing certificate  |                   |

APPOINTMENT OF NEW ATTORNEYS AND/OR AGENTS AND  
CHANGE OF CORRESPONDENCE ADDRESS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Affymetrix, Inc., a Corporation duly organized under the laws of the Delaware, is the assignee of the entire right, title and interest in the above-identified application. Affymetrix Inc. hereby appoints the attorneys and/or agents associated with:

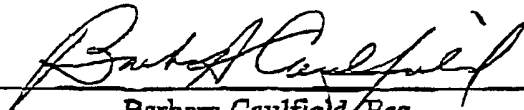
Hamilton, Brook, Smith & Reynolds, P.C.  
2 Militia Drive  
Lexington, Massachusetts 02421-4799  
Customer No. 21005

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office.

Please change the correspondence address for the above captioned patent as follows: All correspondence should be sent to Customer Number 021005, Hamilton, Brook, Smith & Reynolds, P.C., Two Militia Drive, Lexington, Massachusetts 02421-4799. Please direct all telephone calls to Lisa M. Treannie at (781) 861-6240, and all facsimile communications to Lisa M. Treannie at (781) 861-9540.

Certification under 37 C.F.R. §3.73(b) is submitted herewith.

Respectfully submitted,  
Affymetrix, Inc.

By:   
Barbara Caulfield, Esq.

Title: General Counsel

Date: SEP 14 2001

Docket No. 2719-2012-000

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicants: Glen H. McGall, Anthony D. Barone, and Martin Diegelmann

Application No.: 09/102,986 Filed: June 22, 1998

For: Reagents and Methods for Solid Phase Synthesis and Display

Affinity, Inc.

(Name of Assignee)

a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above.
- B. ☐ an assignee together with ☐ of the entire right, title and interest in the patent application identified above. A separate Certificate under 37 CFR § 3.73(b) is attached.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9480, Frame 0276-0277, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, in the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are attached.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true, and further, that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: SEP 14 2001

Name: Barbara Cauldfield

Title: General Counsel

Signature: Barbara Cauldfield